

RATIONALLY ISOTROPIC EXCEPTIONAL PROJECTIVE HOMOGENEOUS VARIETIES ARE LOCALLY ISOTROPIC

I. PANIN AND V. PETROV

ABSTRACT. Assume that R is a local regular ring containing an infinite perfect field, or that R is the local ring of a point on a smooth scheme over an infinite field. Let K be the field of fractions of R and $\text{char}(K) \neq 2$. Let X be an exceptional projective homogeneous scheme over R . We prove that in most cases the condition $X(K) \neq \emptyset$ implies $X(R) \neq \emptyset$.

1. INTRODUCTION

We prove the following theorem.

Theorem 1. *Let R be local regular ring containing an infinite perfect field, or the local ring of a point on a smooth scheme over an infinite field, K be the fraction field of R and $\text{char}(K) \neq 2$. Let G be a split simple group of exceptional type (that is, E_6 , E_7 , E_8 , F_4 , or G_2), P be a parabolic subgroup of G , ξ be a class from $H^1(R, G)$, and $X = \xi(G/P)$ be the corresponding homogeneous space. Assume that $P \neq P_7, P_8, P_{7,8}$ in case $G = E_8$, $P \neq P_7$ in case $G = E_7$, and $P \neq P_1$ in case $G = E_7^{ad}$. Then the condition $X(K) \neq \emptyset$ implies $X(R) \neq \emptyset$.*

2. PURITY OF SOME H^1 FUNCTORS

Let A be a commutative noetherian domain of finite Krull dimension with a fraction field F . We say that a functor \mathcal{F} from the category of commutative A -algebras to the category of sets *satisfies purity* for A if we have

$$\text{Im} [\mathcal{F}(A) \rightarrow \mathcal{F}(F)] = \bigcap_{\text{ht } \mathfrak{p}=1} \text{Im} [\mathcal{F}(A_{\mathfrak{p}}) \rightarrow \mathcal{F}(F)].$$

If \mathcal{H} is an étale group sheaf we write $H^i(-, \mathcal{H})$ for $H_{\text{ét}}^i(-, \mathcal{H})$ below through the text. The following theorem is proven in the characteristic zero case [Pa2, Theorem 4.0.3]. We extend it here to an arbitrary characteristic case.

Theorem 2. *Let R be the regular local ring from Theorem 1 and $k \subset R$ be the subfield of R mentioned in that Theorem. Let*

$$(*) \quad 1 \rightarrow Z \rightarrow G \rightarrow G' \rightarrow 1$$

be an exact sequence of algebraic k -groups, where G and G' are reductive and Z is a closed central subgroup scheme in G . If the functor $H^1(-, G')$ satisfies purity for R then the functor $H^1(-, G)$ satisfies purity for R as well.

Lemma 1. *Consider the category of R -algebras. The functor*

$$R' \mapsto \mathcal{F}(R') = H_{fppf}^1(R', Z) / \text{Im}(\delta_{R'}),$$

where δ is the connecting homomorphism associated to sequence $()$, satisfies purity for R .*

Proof. Similar to the proof of [Pa, Theorem 12.0.36] \square

Lemma 2. *The map*

$$H_{fppf}^2(R, Z) \rightarrow H_{fppf}^2(K, Z)$$

is injective.

The authors are partially supported by RFBR 12-01-92695. The second author is partially supported by RFBR 12-01-31100.

Proof. Similar to the proof of [Pa, Theorem 13.0.38] □

Proof of Theorem 2. Reproduce the diagram chase from the proof of [Pa2, Theorem 4.0.3]. For that consider the commutative diagram

$$\begin{array}{ccccccc}
 \{1\} & \longrightarrow & \mathcal{F}(K) & \xrightarrow{\delta_K} & H^1(K, G) & \xrightarrow{\pi_K} & H^1(K, G') \xrightarrow{\Delta_K} H_{fppf}^2(K, Z) \\
 & & \alpha \uparrow & & \beta \uparrow & & \gamma \uparrow \\
 \{1\} & \longrightarrow & \mathcal{F}(R) & \xrightarrow{\delta} & H^1(R, G) & \xrightarrow{\pi} & H^1(R, G') \xrightarrow{\Delta} H_{fppf}^2(R, Z)
 \end{array}$$

Let $\xi \in H^1(K, G)$ be an R -unramified class and let $\bar{\xi} = \pi_K(\xi)$. Clearly, $\bar{\xi} \in H^1(K, G')$ is R -unramified. Thus there exists an element $\bar{\xi}' \in H^1(R, G')$ such that $\bar{\xi}'_K = \bar{\xi}$. The map α_1 is injective by Lemma 2. One has $\Delta(\bar{\xi}') = 0$, since $\Delta_K(\bar{\xi}) = 0$. Thus there exists $\xi' \in H^1(R, G)$ such that $\pi(\xi') = \bar{\xi}'$.

The group $\mathcal{F}(K)$ acts on the set $H^1(K, G)$, since Z is a central subgroup of the group G . If $a \in \mathcal{F}(K)$ and $\zeta \in H^1(K, G)$, then we will write $a \cdot \zeta$ for the resulting element in $H^1(K, G)$.

Further, for any two elements $\zeta_1, \zeta_2 \in H^1(K, G)$, having the same images in $H^1(K, G)$ there exists a unique element $a \in \mathcal{F}(K)$ such that $a \cdot \zeta_1 = \zeta_2$. These remarks hold for the cohomology of the ring R , and for the cohomology of the rings $R_{\mathfrak{p}}$, where \mathfrak{p} runs over all height 1 prime ideals of R . Since the images of ξ'_K and ξ coincide in $H^1(K, G')$, there exists a unique element $a \in \mathcal{F}(K)$ such that $a \cdot \xi'_K = \xi$ in $H^1(K, G)$.

Lemma 3. *The above constructed element $a \in \mathcal{F}(K)$ is an R -unramified.*

Assuming Lemma 3 complete the proof of the Theorem. By Lemma 1 the functor \mathcal{F} satisfies the purity for regular local rings containing the field k . Thus there exists an element $a' \in \mathcal{F}(R)$ with $a'_K = a$. It's clear that $\xi'' = a' \cdot \xi' \in H^1(R, G)$ satisfies the equality $\xi''_K = \xi$. It remains to prove Lemma 3.

For that consider a height 1 prime ideal \mathfrak{p} in R . Since ξ is an R -unramified there exists its lift up to an element $\tilde{\xi}$ in $H^1(R_{\mathfrak{p}}, G)$. Set $\xi'_{\mathfrak{p}}$ to be the image of the ξ' in $H^1(R_{\mathfrak{p}}, G)$. The classes $\pi_{\mathfrak{p}}(\tilde{\xi}), \pi_{\mathfrak{p}}(\xi'_{\mathfrak{p}}) \in H^1(R_{\mathfrak{p}}, G')$ being regarded in $H^1(K, G')$ coincide.

The map

$$H^1(R_{\mathfrak{p}}, G') \rightarrow H^1(K, G')$$

is injective by Lemma 4, formulated and proven below. Thus $\pi_{\mathfrak{p}}(\tilde{\xi}) = \pi_{\mathfrak{p}}(\xi'_{\mathfrak{p}})$. Therefore there exists a unique class $a_{\mathfrak{p}} \in \mathcal{F}(R_{\mathfrak{p}})$ such that $a_{\mathfrak{p}} \cdot \xi'_{\mathfrak{p}} = \tilde{\xi} \in H^1(R_{\mathfrak{p}}, G)$. So, $a_{\mathfrak{p}, K} \cdot \xi'_K = \xi \in H^1(K, G)$ and $a_{\mathfrak{p}, K} \cdot \xi'_K = \xi = a \cdot \xi'_K$. Thus $a = a_{\mathfrak{p}, K}$. Lemma 3 is proven. □

To finish the proof of the theorem it remains to prove the following

Lemma 4. *Let H be a reductive group scheme over a discrete valuation ring A . Assume that for each A -algebra Ω with an algebraically closed field Ω the algebraic group H_{Ω} is connected. Let K be the fraction field of A . Then the map*

$$H^1(R, H) \rightarrow H^1(K, H)$$

is injective.

Proof. Let $\xi_0, \xi_1 \in H^1(A, H)$. Let \mathcal{H}_0 be a principal homogeneous H -bundle representing the class ξ_0 . Let H_0 be the inner form of the group scheme H , corresponding to \mathcal{H}_0 . Let $X = \text{Spec}(A)$. For each X -scheme S there is a well-known bijection $\phi_S: H^1(S, H) \rightarrow H^1(S, H_0)$ of non-pointed sets. That bijection takes the principal homogeneous H -bundle $\mathcal{H}_0 \times_X S$ to the trivial principal homogeneous H_0 -bundle $H_0 \times_X S$. That bijection respects to morphisms of X -schemes.

Assume that $\xi_{0, K} = \xi_{1, K}$. Then one has $* = \phi_K(\xi_{0, K}) = \phi_K(\xi_{1, K}) \in H^1(K, H_0)$. The kernel of the map $H^1(A, H_0) \rightarrow H^1(K, H_0)$ is trivial by Nisnevich theorem [Ni]. Thus $\phi_A(\xi_1) = * =$

$\phi_A(\xi_0) \in H^1(A, H_0)$. Whence $\xi_1 = \xi_0 \in H^1(A, H)$. The Lemma is proven and the Theorem is proven as well. \square

Theorem 3. *The functor $H^1(-, \mathrm{PGL}_n)$ satisfies purity.*

Proof. Let $\xi \in H^1(-, \mathrm{PGL}_n)$ be an R -unramified element. Let $\delta : H^1(-, \mathrm{PGL}_n) \rightarrow H^2(-, G_m)$ be the boundary map corresponding to the short exact sequence of étale group sheaves

$$1 \rightarrow \mathbb{G}_m \rightarrow \mathrm{GL}_n \rightarrow \mathrm{PGL}_n \rightarrow 1.$$

Let D_ξ be a central simple K -algebra of degree n corresponding ξ . If $D_\xi \cong M_l(D')$ for a skew-field D' , then there exists $\xi' \in H^1(K, \mathrm{PGL}_{n'})$ such that $D' = D_{\xi'}$. Then $\delta(\xi') = [D'] = [D] = \delta(\xi)$. Replacing ξ by ξ' , we may assume that $D := D_\xi$ is a central skew-field over K of degree n and the class $[D]$ is R -unramified.

Clearly, the class $\delta(\xi)$ is R -unramified. Thus there exists an Azumaya R -algebra A and an integer d such that $A_K = M_d(D)$.

There exists a projective left A -module P of finite rank such that each projective left A -module Q of finite rank is isomorphic to the left A -module P^m for an appropriate integer m (see [?, Cor.2]). In particular, two projective left A -modules of finite rank are isomorphic if they have the same rank as R -modules. One has an isomorphism $A \cong P^s$ of left A -modules for an integer s . Thus one has R -algebra isomorphisms $A \cong \mathrm{End}_A(P^s) \cong M_s(\mathrm{End}_A(P))$. Set $B = \mathrm{End}_A(P)$. Observe, that $B_K = \mathrm{End}_{A_K}(P_K)$, since P is a finitely generated projective left A -module.

The class $[P_K]$ is a free generator of the group $K_0(A_K) = K_0(M_d(D)) \cong \mathbb{Z}$, since $[P]$ is a free generator of the group $K_0(A)$ and $K_0(A) = K_0(A_K)$. The P_K is a simple A_K -module, since $[P_K]$ is a free generator of $K_0(A_K)$. Thus $\mathrm{End}_{A_K}(P_K) = B_K$ is a skew-field.

We claim that the K -algebras B_K and D are isomorphic. In fact, $A_K = M_r(B_K)$ for an integer r , since P_K is a simple A_K -module. From the other side $A_K = M_d(D)$. As D , so B_K are skew-fields. Thus $r = d$ and D is isomorphic to B_K as K -algebras.

We claim further that B is an Azumaya R -algebra. That claim is local with respect to the étale topology on $\mathrm{Spec}(R)$. Thus it suffices to check the claim assuming that $\mathrm{Spec}(R)$ is stickly henselian local ring. In that case $A = M_l(R)$ and $P = (R^l)^m$ as an $M_l(R)$ -module. Thus $B = \mathrm{End}_A(P) = M_m(R)$, which proves the claim.

Since B_K is isomorphic to D , one has $m = n$. So, B is an Azumaya R -algebra, and the K -algebra B_K is isomorphic to D . Let $\zeta \in H^1(R, \mathrm{PGL}_n)$ be class corresponding to B . Then $\zeta_K = \xi$, since $\delta(\zeta)_K = [B_K] = [D] = \delta(\xi) \in H^2(K, \mathbb{G}_m)$. \square

Theorem 4. *The functor $H^1(-, \mathrm{Sim}_n)$ satisfies purity.*

Proof. Let φ be a quadratic form over K whose similarity class represents $\xi \in H^1(K, \mathrm{Sim}_n)$. Diagonalizing φ we may assume that $\varphi = \sum_{i=1}^n f_i \cdot t_i^2$ for certain non-zero elements $f_1, f_2, \dots, f_n \in K$. For each i write f_i in the form $f_i = \frac{g_i}{h_i}$ with $g_i, h_i \in R$ and $h_i \neq 0$.

There are only finitely many height one prime ideals \mathfrak{q} in R such that there exists $0 \leq i \leq n$ with f_i not in $R_{\mathfrak{q}}$. Let $\mathfrak{q}_1, \mathfrak{q}_2, \dots, \mathfrak{q}_s$ be all height one prime ideals in R with that property and let $\mathfrak{q}_i \neq \mathfrak{q}_j$ for $i \neq j$.

For all other height one prime ideals \mathfrak{p} in R each f_i belongs to the group of units $R_{\mathfrak{p}}^\times$ of the ring $R_{\mathfrak{p}}$.

If \mathfrak{p} is a height one prime ideal of R which is not from the list $\mathfrak{q}_1, \mathfrak{q}_2, \dots, \mathfrak{q}_s$, then $\varphi = \sum_{i=1}^n f_i \cdot t_i^2$ may be regarded as a quadratic space over $R_{\mathfrak{p}}$. We will write ${}_{\mathfrak{p}}\varphi$ for that quadratic space over $R_{\mathfrak{p}}$. Clearly, one has $({}_{\mathfrak{p}}\varphi) \otimes_{R_{\mathfrak{p}}} K = \varphi$ as quadratic spaces over K .

For each $j \in \{1, 2, \dots, s\}$ choose and fix a quadratic space ${}_{\mathfrak{q}_j}\varphi$ over $R_{\mathfrak{q}_j}$ and a non-zero element $\lambda_j \in K$ such that the quadratic spaces $({}_{\mathfrak{q}_j}\varphi) \otimes_{R_{\mathfrak{q}_j}} K$ and $\lambda_j \cdot \varphi$ are isomorphic over K . The ring R is factorial since it is regular and local. Thus for each $j \in \{1, 2, \dots, s\}$ we may choose an element $\pi_j \in R$ such that firstly π_j generates the only maximal ideal in $R_{\mathfrak{q}_j}$ and secondly π_j is an invertible element in $R_{\mathfrak{n}}$ for each height one prime ideal \mathfrak{n} different from the ideal \mathfrak{q}_j .

Let $v_j: K^\times \rightarrow \mathbb{Z}$ be the discrete valuation of K corresponding to the prime ideal \mathfrak{q}_j . Set $\lambda = \prod_{i=1}^s \pi_j^{v_j(\lambda_j)}$ and

$$\varphi_{new} = \lambda \cdot \varphi.$$

Claim. The quadratic space φ_{new} is R -unramified. In fact, if a height one prime ideal \mathfrak{p} is different from each of \mathfrak{q}_j 's, then $v_{\mathfrak{p}}(\lambda) = 0$. Thus, $\lambda \in R_{\mathfrak{p}}^\times$. In that case $\lambda \cdot (\mathfrak{p}\varphi)$ is a quadratic space over $R_{\mathfrak{p}}$ and moreover one have isomorphisms of quadratic spaces $(\lambda \cdot (\mathfrak{p}\varphi)) \otimes_{R_{\mathfrak{p}}} K = \lambda \cdot \varphi = \varphi_{new}$. If we take one of \mathfrak{q}_j 's, then $\frac{\lambda}{\lambda_j} \in R_{\mathfrak{q}_j}^\times$. Thus, $\frac{\lambda}{\lambda_j} \cdot (j\varphi)$ is a quadratic space over $R_{\mathfrak{q}_j}$. Moreover, one has

$$\frac{\lambda}{\lambda_j} \cdot (j\varphi) \otimes_{R_{\mathfrak{q}_j}} K = \frac{\lambda}{\lambda_j} \cdot \lambda_j \cdot \varphi = \varphi_{new}.$$

The Claim is proven.

By [PP, Corollary 3.1] there exists a quadratic space $\tilde{\varphi}$ over R such that the quadratic spaces $\tilde{\varphi} \otimes_R K$ and φ_{new} are isomorphic over K . This shows that the similarity classes of the quadratic spaces $\tilde{\varphi} \otimes_R K$ and φ coincide. The theorem is proven. \square

Theorem 5. *The functor $H^1(-, \text{Sim}_n^+)$ satisfies purity.*

Proof. Consider an element $\xi \in H^1(K, \text{Sim}_n^+)$ such that for any \mathfrak{p} of height 1 ξ comes from $\xi_{\mathfrak{p}} \in H^1(R_{\mathfrak{p}}, \text{Sim}_n^+)$. Then the image of ξ in $H^1(K, \text{Sim}_n)$ by Theorem 4 comes from some $\zeta \in H^1(R, \text{Sim}_n)$. We have a short exact sequence

$$1 \rightarrow \text{Sim}_n^+ \rightarrow \text{Sim}_n \rightarrow \mu_2 \rightarrow 1,$$

and $R^\times/(R^\times)^2$ injects into $K^\times/(K^\times)^2$. Thus the element ζ comes actually from some $\zeta' \in H^1(R, \text{Sim}_n^+)$. It remains to show that the map

$$H^1(K, \text{Sim}_n^+) \rightarrow H^1(K, \text{Sim}_n)$$

is injective, or, by twisting, that the map

$$H^1(K, \text{Sim}^+(q)) \rightarrow H^1(K, \text{Sim}(q))$$

has the trivial kernel. The latter follows from the fact that the map

$$\text{Sim}(q)(K) \rightarrow \mu_2(K)$$

is surjective (indeed, any reflection goes to $-1 \in \mu_2(K)$). \square

3. PROOF OF THE MAIN THEOREM

Let ξ be a class from $H^1(R, G)$, and $X = \xi(G/P)$ be the corresponding homogeneous space. Denote by L a Levi subgroup of P .

Lemma 5. *Let L modulo its center be isomorphic to PGO_{2m}^+ (resp., PGO_{2m+1}^+ or $\text{PGO}_{2m}^+ \times \text{PGL}_2$). Denote by Ψ the closed subset in $X^*(T)$ of type D_m (resp. B_m or $D_m + A_1$) corresponding to L , T stands for a maximal split torus in L . Assume that there is an element $\lambda \in X^*(T)$ such that Ψ and λ generate a closed subset of type D_{m+1} (resp. B_{m+1} or $D_{m+1} + A_1$), and Ψ forms the standard subsystem of type D_m (resp. B_m or $D_m + A_1$) therein. Then there is a surjective map $L \rightarrow \text{Sim}_{2m}^+$ (resp., $L \rightarrow \text{Sim}_{2m+1}^+$ or $L \rightarrow \text{Sim}_{2m}^+ \times \text{PGL}_2$) whose kernel is a central closed subgroup scheme in L . In particular, the functor $H^1(-, L)$ satisfies purity.*

Proof. It is easy to check that Sim_{2m}^+ (resp. Sim_{2m+1}^+) is a Levi subgroup in the split adjoint group of type D_{m+1} (resp. B_{m+1}). Now the first claim follows from [SGA, Exp. XXIII, Thm. 4.1], and the rest follows from Theorem 5 and Theorem 3. \square

Lemma 6. *For any R -algebra S the map*

$$H^1(S, L) \rightarrow H^1(S, G)$$

is injective. Moreover, $X(S) \neq \emptyset$ if and only if ξ_S comes from $H^1(S, L)$.

Proof. See [SGA, Exp. XXVI, Cor. 5.10]. \square

Lemma 7. *Assume that the functor $H^1(-, L)$ satisfies purity. Then $X(K) \neq \emptyset$ implies $X(R) \neq \emptyset$.*

Proof. By Lemma 6 ξ_K comes from some $\zeta \in H^1(K, L)$, which is uniquely determined. Since X is smooth projective, for any prime ideal \mathfrak{p} of height 1 we have $X(R_{\mathfrak{p}}) \neq \emptyset$. By Lemma 6 $\xi_{R_{\mathfrak{p}}}$ comes from some $\zeta_{\mathfrak{p}} \in H^1(R_{\mathfrak{p}}, L)$. Now $(\zeta_{\mathfrak{p}})_K = \zeta$, and so by the purity assumption there is $\zeta' \in H^1(R, L)$ such that $\zeta'_K = \zeta$.

Set ξ' to be the image of ζ' in $H^1(R, G)$. We claim that $\xi' = \xi$. To prove this recall that by the construction $\xi'_K = \xi_K$. Further, there are natural in R -algebras bijections $\alpha_S : H^1(S, G) \rightarrow H^1(R, \xi' G)$, which takes the ξ' to the distinguished element $*_R \in H^1(R, \xi' G)$. The R -group scheme $\xi' G$ is isotropic and one has equalities

$$(\alpha_R(\xi))_K = \alpha_K(\xi_K) = \alpha_K(\xi'_K) = *_K' \in H^1(K, \xi' G).$$

Thus by [Pa, Theorem 1.0.1] one has

$$\alpha_R(\xi) = *_R = \alpha_R(\xi') \in H^1(R, \xi' G).$$

The α_R is a bijection, whence

$$\xi = \xi' \in H^1(R, G).$$

Lemma is proved. □

Lemma 8. *Let $Q \leq P$ be another parabolic subgroup, $Y = \xi(G/Q)$. Assume that $X(K) \neq \emptyset$ implies $Y(K) \neq \emptyset$, and $Y(K) \neq \emptyset$ implies $Y(R) \neq \emptyset$. Then $X(K) \neq \emptyset$ implies $X(R) \neq \emptyset$.*

Proof. Indeed, there is a map $Y \rightarrow X$, so $Y(R) \neq \emptyset$ implies $X(R) \neq \emptyset$. □

Proof of Theorem 1. By Lemma 8 we may assume that P corresponds to an item from the list of Tits [T, Table II]. We show case by case that $H^1(-, L)$ satisfies purity, hence we are in the situation of Lemma 7.

If $P = B$ is the Borel subgroup, obviously $H^1(-, L) = 1$. In the case of index $E_{7,4}^9$ (resp. $E_{6,2}^{16}$) L modulo its center is isomorphic to $\mathrm{PGL}_2 \times \mathrm{PGL}_2 \times \mathrm{PGL}_2$ (resp. $\mathrm{PGL}_3 \times \mathrm{PGL}_3$), and we may apply Theorem 2 and Theorem 3. In the all other cases we provide an element $\lambda \in X^*(T)$ such that the assumption of Lemma 5 holds ($\tilde{\alpha}$ stands for the maximal root, enumeration follows [B]). The indices $E_{7,1}^{78}$, $E_{8,1}^{133}$ and $E_{8,2}^{78}$ are not in the list below since in those cases the L does not belong to one of the type D_m , B_m , $D_m \times A_1$. The index $E_{7,1}^{66}$ is not in the list below since in that case we need a weight λ which is not in the root lattice. So, the indices $E_{7,1}^{78}$, $E_{8,1}^{133}$, $E_{8,2}^{78}$ and $E_{7,1}^{66}$ are the exceptions in the statement of the Theorem.

Index	λ
$E_{6,2}^{28}$	α_1
$E_{7,1}^{66}$	$-\omega_7$
$E_{7,1}^{48}$	$-\tilde{\alpha}$
$E_{7,1}^{31}$	α_1
$E_{7,2}^{28}$	α_1
$E_{7,3}^{28}$	α_1
$E_{8,1}^{91}$	$-\tilde{\alpha}$
$E_{8,2}^{66}$	α_8
$E_{8,2}^{28}$	α_1
$E_{8,4}^{28}$	α_1
$F_{4,1}^{21}$	$-\tilde{\alpha}$

□

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PDMI RAS, ST. PETERSBURG, RUSSIA; CHEBYSHEV LABORATORY AT ST. PETERSBURG STATE UNIVERSITY, RUSSIA

E-mail address: paniniv@gmail.com

PDMI RAS, ST. PETERSBURG, RUSSIA; CHEBYSHEV LABORATORY AT ST. PETERSBURG STATE UNIVERSITY, RUSSIA

E-mail address: victorapetrov@googlemail.com